

MORTGAGE INTERMEDIARY DECLARATION - PRODUCT TRANSFER

Borrower(s) name(s):

Mortgage account number:

Product Maturity date:

New product being recommended

Product code:

Product type:

Product interest rate:

Details of any changes to repayment method or mortgage term (please give full details):

Confirmation of who the Procurement Fee is payable to (Direct / Network/Club):

I certify that I, or an authorised representative of this firm, have:

1. Provided the applicants with information to enable them to understand the product, its purpose and the risks and I confirm that the mortgage meets the affordability criteria as set out in MCOB 4 & 11, including consideration of reasonably anticipated changes in financial circumstances, and I further confirm that I have been able to rely on any information provided by the customer.
2. Understood the terms of the product and the targeted customer group for the product, as described in the mortgage illustration and in the Intermediaries section of the Society's website srbs.co.uk/intermediaries. I also understand and confirm that if I had any doubts as to the clarity or nature of the product, I have contacted the Society to clarify any details.
3. Checked that if applying for an Interest-Only mortgage, the applicants have a suitable means of repaying the mortgage by the end of the term and that the intended Mortgage Repayment Vehicle
4. For applications which involve lending into retirement, I confirm that the income required to support this mortgage in retirement has been considered and the provisions will be satisfactory to meet the monthly payments.

Information required to enable the Society to produce a Mortgage Offer

Have you provided advice and made a recommendation to my client(s)? YES NO (delete as appropriate)

Are any fees paid by the client(s) to you or to any third party? YES NO (delete as appropriate)

IF fees are payable, please answer the following questions (a to f):

- a. Description of the fee.
- b. To whom is the fee payable?
- c. The amount of the fee and whether it is estimated.
- d. Has the fee already been paid?
- e. When the fee is payable?
- f. Are the fees payable by your client(s) whether or not they enter into the product transfer?



Details of the intermediary making this declaration

Full name of regulated firm:

FCA Register number:

Name:

Position:

Address of the regulated firm:

Club/Network:

Email address:

Telephone number:

General information

Data Protection

You must at all times comply with the requirements of the Data Protection Act 1998 and from 25th May 2018 the General Data Protection Regulation (EU) 2016/679 Data Protection Laws' and regulations made under the Data Protection Laws as well as any guidance or Codes of Practice issued by the Information Commissioner's Office (ICO). You must ensure that you have the applicants authority to disclose their personal details to us in any format and that we may lawfully use this data. Your duties under this clause shall survive any termination of your membership from our mortgage intermediary panel.

You shall comply with:

- (a) All applicable laws from time to time in force including the Bribery Act 2010 and the Modern Slavery Act 2015, and
- (b) Our Compliance policies notified to you from time to time

Signature

Date

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