

Account No:

#### Instructions

- Please ensure that all details are completed in full and tick boxes where applicable.
- Boxes marked with an asterisk (\*) must be completed.
- Failure to complete these boxes will result in the application form being returned.
- Proof of identity is required for all controllers & signatories before we can open an account; we also need additional information for a business/company.

*Business Name	
*Registered Address	
*Correspondence Address	
*Legal Status	
*VAT Registration Number	
*Year of Incorporation	
*Nature of business	
*Expected opening deposit	

#### Business Type

Please tick the box that best describes your organisation type. Please note that failure to complete this section may result in the potential ineligible for the FSCS Scheme:

*Business Type	<input type="checkbox"/> <b>Partnership / LLP</b> <input type="checkbox"/> <b>Sole Trader</b> <input type="checkbox"/> <b>Charity</b> <input type="checkbox"/> <b>Club / Society</b>
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**Personal pension schemes, stakeholder pension schemes and occupational pension schemes of:**

☐ Micro, small and medium sized enterprises ☐ other

**Controller over the management of the business**

**NOTE:** As per JLMMSG guidelines Part I Nov 2014: Controlling person - individuals either owning or controlling more than 25% of body corporates or partnerships (or at least 25% of trusts) or otherwise owning or controlling the customer (5.3.9).

Beneficiary / Controller 1		Beneficiary / Controller 2	
*Title		*Title	
*First name(s)		*First name(s)	
*Surname		*Surname	
*Address		*Address	
*Date of birth		*Date of birth	
*Country of Birth		*Country of Birth	
*NI Number		*NI Number	
Marital status		Marital status	
*Telephone		*Telephone	
Email		Email	
*Occupation		*Occupation	
*Name of employer or your business name		*Name of employer or your business name	
*Nationality		*Nationality	
*Country of residence		*Country of residence	
*Signatory to the account	Yes <input type="checkbox"/> No <input type="checkbox"/>	*Signatory to the account	Yes <input type="checkbox"/> No <input type="checkbox"/>

Beneficiary / Controller 3		Beneficiary / Controller 4	
*Title		*Title	
*First name(s)		*First name(s)	
*Surname		*Surname	
*Address		*Address	
*Date of birth		*Date of birth	
*Country of Birth		*Country of Birth	
*NI Number		*NI Number	
Marital status		Marital status	
*Telephone		*Telephone	
Email		Email	
*Occupation		*Occupation	
*Name of employer or your business name		*Name of employer or your business name	
*Nationality		*Nationality	

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*Country of residence		*Country of residence	
*Signatory to the account	Yes <input type="checkbox"/> No <input type="checkbox"/>	*Signatory to the account	Yes <input type="checkbox"/> No <input type="checkbox"/>

### Citizenship and Tax Residency Self Certification (FATCA/CRS)

<b>Beneficiary/ Controller 1</b>		<b>Beneficiary/ Controller 2</b>	
*Are you a citizen and tax resident of the UK only?	Yes <input type="checkbox"/> No <input type="checkbox"/>	*Are you a citizen and tax resident of the UK only?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If <b>NO</b> , please complete the supporting FATCA declaration leaflet		If <b>NO</b> , please complete the supporting FATCA declaration leaflet	

<b>Beneficiary/ Controller 3</b>		<b>Beneficiary/ Controller 4</b>	
*Are you a citizen and tax resident of the UK only?	Yes <input type="checkbox"/> No <input type="checkbox"/>	*Are you a citizen and tax resident of the UK only?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If <b>NO</b> , please complete the supporting FATCA declaration leaflet		If <b>NO</b> , please complete the supporting FATCA declaration leaflet	

### Financial Services Compensation Scheme (FSCS)

The Financial Services Compensation Scheme, the UK's deposit protection scheme, protects your eligible deposits with Stafford Railway Building Society up to the current limit. Any deposits you hold above the current limit are not covered. Please tick the box below to confirm you have received the FSCS leaflet within your pack.

Yes ☐ No ☐

### Nominated Bank Account

The Society offers members the option to make an electronic payment from their Stafford Building Society savings account to a nominated bank account in their own name via Faster Payment, free of charge (Limits apply. Please see our Tariff of Charges document for more details). This is limited to one payment per customer per working day. Members can register only one nominated bank account per account, which must be in the name of the business.

#### Nominated Account Details:

Sort Code	<input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/>	Account Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Card Holder(s) name			
Bank Name			
Branch Name			

## Marketing Preferences

The Society would like to be able to contact you by telephone (including mobile), post, or email using the contact details which you provide in this form. You can choose whether to be contacted for marketing purposes by indicating your preferences by ticking the relevant boxes in the declaration section of this form. You can change or cancel your choices at any time by contacting us at the Stafford Railway Building Society, 4 Market Square, Stafford, ST16 2JH.

Please ensure that should you choose to contact the society via email, please ensure that all emails you send to the Society, which include your personal data, are sent securely.

**I confirm that the Society can contact me/us for marketing purposes:**

☐ Post ☐ Email ☐ Telephone ☐ No Marketing

**\*How did you hear about us?**

☐ Family/Friends ☐ Existing Member ☐ Advertising/Newspaper ☐ Social Media ☐ Email

☐ Event or Other (please give details below)

## Disclosure of Relationships

Do you currently work for the Society? ☐ Yes ☐ No

Are you related to anyone who works for the Society, and what is your relationship?

Staff Member Name	What is your relationship to them?

## Appendix 1 - Important Information - Use of Your Information

### Data Protection Legislation and the General Data Protection Regulation (EU)

Any information you provide, including information, you give us in the future, may be held on record by the Society. The record may be held as a computer record. The Society may use this information for purposes of customer administration, research, and statistical analysis, and for fraud prevention for the benefit of the Society and its customers. This information will be held during the life of the account and may be kept for six years after the account is closed. Under current Data Protection legislation, you have the right to ask us to send you a copy of your records and the right to change any of your information that is incorrect. You also have the right of access to your personal records held by credit and fraud agencies. Details of your data protection rights are contained within the Society's Privacy Policy which has been explained and issued to you as part of this application.

### Fraud Prevention Agencies

To prevent or detect fraud, or to assist in verifying your identity, we may make searches of records held by fraud prevention agencies who will supply us with information. We also pass information to government departments and to financial and other organisations involved in fraud prevention to protect ourselves and our customers from theft or fraud. If you give us false or inaccurate information and we suspect fraud, we will record this. We, and other companies, may use this information if decisions are made about you or others at your address on credit or credit related services. It may also be used for tracing and claims assessment.

### Proof of identity searches

In order to comply with money laundering regulations and to protect our customers from fraud, we are required by law to confirm the identity and address of every applicant and beneficiary. We are able to access credit reference agency databases, including information from the Electoral Register, to check that the details you have supplied us with are correct. This will show, as a search of the database but not as a credit score, so will not affect your credit rating. In the majority of cases, this will enable us to open your account in addition to any paper-based identification. We will advise you, if we require further proof of identification, these requirements will depend upon how and where you are opening your account.

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### Use of your personal information

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## Appendix 2 - Charitable Assignment Scheme

**IF YOU HAD A SHARE ACCOUNT WITH THE SOCIETY ON 31st JULY 2000 AND HAVE HELD A SHARE ACCOUNT WITH THE SOCIETY EVER SINCE THAT DATE, OR IF YOU HAVE A MORTGAGE WITH THE SOCIETY AT TODAY'S DATE THE WORDING IN PARAGRAPHS 1 AND 2 BELOW DOES NOT APPLY TO YOU. HOWEVER, IT MUST NOT BE DELETED.**

1) By applying to open a share account on or after 1st August 2000 I/We agree with the Society and the Charities Aid Foundation ("the CAF") that I/We will assign to the CAF (or to any charity(ies) nominated by it or by the Society under the provisions of a deed dated 31st July 2000 between the Society and the CAF, in which case references to the CAF shall include references to any other charity(ies), but to no other person) the rights to any relevant conversion benefits (defined below). This obligation will not apply to me/us if I/We fall within any class of persons, which, as at today's date, the Society wishes to be excluded from such obligation. This agreement is irrevocable and authorises the Society to transfer to the CAF any such benefits without further notice to me/us. I/We understand that neither the Society nor the CAF will release me/us from this agreement or vary its terms and I/We will continue to be bound by the above condition even if the Society decides at some time in the future (and announces any such decision by press release or otherwise) that it is no longer in the best interests of the Society to continue with the above assignment condition generally in respect of new members.

2a) "Relevant conversion benefits" means any benefits to which I/We might become entitled as a shareholding member of the Society under the terms of any future transfer of the Society's business to a company (i.e. on a conversion or takeover) which is completed at any time within the ten years immediately following the date on which my/our share account is opened (or, if applicable, the shorter period as set out in the list available from the Society's Secretary). "Relevant conversion benefits" does not include the statutory right to have shares in the Society (including any balances on share accounts) converted into deposits with the company on a conversion or takeover.

2(b) If the Society merges with any other society, after the date of such merger the "Society" includes such other society.

A list of the classes of persons, which the Society currently wishes to be excluded from the obligation to assign or in respect of which a shorter period applies (which list may change from time to time but not with retrospective effect) is available on request from the Society's Secretary.

## Agreement and Declaration

We intend to rely on the Specific Terms for this account and the Savings Terms and Conditions (savings T&Cs), which together will form our agreement with you. Our current savings T&Cs are available online at [www.srbs.co.uk](http://www.srbs.co.uk) and are also provided within your account opening pack. Before signing your agreement, for your own benefit and protection you should read the Specific Terms and Conditions for this account, the savings T&Cs and the declarations below. If you do not understand any point please ask for further information.

### Your declaration - In signing this application form, you confirm the following declaration:

- I/We are the sole/joint beneficial owner of all the money to be invested in this account; OR
- A sole/joint trustee for the individual named overleaf.
- This account is not a bare trustee for a body corporate or for persons who include a body corporate (a bare trustee is someone who holds an account in his or her name but the funds invested are for the absolute benefit of another person).
- I/We declare that the information I/we have given on this form is true to the best of our knowledge and belief and undertake to inform the Society of any changes in my/our circumstances.
- I/We agree to be bound by the Rules of the Society, the Society's Terms and Conditions for Savings Accounts and any specific conditions applicable to this account (a copy of which I/we have received).
- To be bound by the rules of the Society and the Charitable Assignment Scheme described above in the application form and in our savings T&C's.
- I/We agree to the section called "Important - Use Of Your Information" including the Privacy Policy and agree to the Society using my/our information in the manner specified.
- That I/we have read and understood the Financial Services Compensation Scheme information sheet.

**Declaration of authority for signatories**

**I/We hereby authorise The Stafford Building Society to accept the signature(s) below as a full and final discharge in respect of all matters relating to this account:**

Non Controller Signatory 1		Non Controller Signatory 2	
*Title		*Title	
*First name(s)		*First name(s)	
*Surname		*Surname	
*Address		*Address	
*Date of birth		*Date of birth	
*Country of Birth		*Country of Birth	
*NI Number		*NI Number	
Marital status		Marital status	
*Telephone		*Telephone	
Email		Email	
*Occupation		*Occupation	
*Signature		* Signature	

Non Controller Signatory 3		Non Controller Signatory 4	
*Title		*Title	
*First name(s)		*First name(s)	
*Surname		*Surname	
*Address		*Address	
*Date of birth		*Date of birth	
*Country of Birth		*Country of Birth	
*NI Number		*NI Number	
Marital status		Marital status	
*Telephone		*Telephone	
Email		Email	
*Occupation		*Occupation	
*Signature		* Signature	

**Please tick the box below to confirm you have received the Society's Privacy Notice within your pack.**

**Account holder 1** ☐

**Account holder 2** ☐

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### Withdrawals instructions

Withdrawals to be paid on:

**Any one** ☐

**Any two** ☐

**Any three** ☐

**All four** ☐

of the signatures of the signatory's named above.

Beneficiary/ Controller 1		Beneficiary/ Controller 2	
*Signature		*Signature	
*Date		*Date	

Beneficiary/ Controller 3		Beneficiary/ Controller 4	
*Signature		*Signature	
*Date		*Date	