

## Application Form – First Track (UK individuals only)



# The Stafford Building Society

Customer ID:

(Office Use Only)

Account No:

### Account Details

*Opening deposit	
*Origin of funds	
*Initial investment paid by	Cheque <input type="checkbox"/> Cash <input type="checkbox"/> Bank Transfer <input type="checkbox"/> Debit Card <input type="checkbox"/> Transfer IN <input type="checkbox"/>
	<input type="checkbox"/> Please transfer from my existing account with you (account number):
Withdrawal Instructions - For Joint accounts	Any one signature <input type="checkbox"/> Both/All signatures <input type="checkbox"/>

### Account Holder(s) Details

ACCOUNT HOLDER (Child as Beneficiary)		ACCOUNT HOLDER (Registered Contact)	
*Title		*Title	
*First name(s)		*First name(s)	
*Surname		*Surname	
*Address		*Address	
Date moved to this address	M M Y Y Y Y	Date moved to this address	M M Y Y Y Y
Previous Address if less than 3 years at current Address		Previous Address if less than 3 years at current Address	
Date moved to this address	M M Y Y Y Y	Date moved to this address	M M Y Y Y Y
*Date of birth		*Date of birth	
*Country of Birth		*Country of Birth	
*NI Number (If 16 or older)		*NI Number	
*Telephone		Marital status	
Email		*Telephone	
*Nationality		Email	
*Country of residence		*Occupation	
*Are you a tax resident in any other country? (If yes, please state where)	Yes <input type="checkbox"/> No <input type="checkbox"/>	*Name of employer or your business name	
*If Yes, please include your Tax Identification Number (TIN) (if 16 or Older)		*Nationality	
		*Country of residence	
		*Are you a tax resident in any other country? (If yes, please state where)	Yes <input type="checkbox"/> No <input type="checkbox"/>
		*If Yes, please include your Tax Identification Number (TIN)	

The Stafford Building Society is a trading name for the Stafford Railway Building Society. The Stafford Railway Building Society is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct authority and the Prudential Regulation Authority. Register Number 206063

## Application Form – First Track (UK individuals only)

### Tax Residency Self Certification (FATCA/CRS)

Account holder (Child as Beneficiary)		Account holder (Registered Contact)	
*Are you a citizen and tax resident of the UK only?	Yes <input type="checkbox"/> No <input type="checkbox"/>	*Are you a citizen and tax resident of the UK only?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If <b>NO</b> , please complete the supporting FATCA declaration leaflet		If <b>NO</b> , please complete the supporting FATCA declaration leaflet	

### Disclosure of Relationships

Do you currently work for the Society?  Yes  No

Are you related to anyone who works for the Society, and what is your relationship?

Staff Member Name	What is your relationship to them?

### Marketing Preferences

The Society would like to be able to contact you using the details which you provide in this form. You can choose whether to be contacted for marketing purposes by indicating your preferences by ticking the relevant boxes in the declaration section of this form. You can change or cancel your choices at any time by contacting us at The Stafford Building Society, 4 Market Square, Stafford, ST16 2JH.

Please ensure that should you choose to contact the Society via email, please ensure that all emails you send to the Society, which include your personal data, are sent securely.

#### I confirm that the Society can contact me for marketing purposes:

##### Account holder (Child as Beneficiary)

Post  Email  Telephone  No Marketing

##### Account holder (Registered Contact)

Post  Email  Telephone  No Marketing

#### \*How did you hear about us?

Family/Friends  Existing Member  Advertising/Newspaper  Social media  Email

Event or Other (please give details below)

### Appendix 1 - Important Information - Use of Your Information

#### Data Protection Legislation and the UK General Data Protection Regulation

Any information you provide, both presently and in the future, may be held on record by the Society. The record may be held as an electronic record. The Society may use this information for purposes of customer administration, research, and statistical analysis, and for fraud prevention for the benefit of the Society and its customers. This information will be held during the life of the account and may be kept for six years after the account is closed. Under current Data Protection legislation, you have the right to ask us to send you a copy of your records and the right to change any of your information that is incorrect. In certain circumstances, you may also have the right to ask us to stop using or delete your personal information. You also have the right of access to your personal records held by credit and fraud agencies. It is important that you understand how the personal information you give us may be used. We therefore strongly advise that you read our Full Privacy Notice, which you can find on our website, or you can ask us for a copy.

### Fraud Prevention

To prevent or detect fraud, or to assist in verifying your identity, we may make searches of records held by fraud prevention agencies who will supply us with information. We also pass information to government departments and to financial and other organisations involved in fraud prevention to protect ourselves and our customers from theft or fraud. If you give us false or inaccurate information and we suspect fraud, we will record this. We, and other companies, may use this information if decisions are made about you or others at your address on credit or credit related services. It may also be used for tracing and claims assessment.

### Proof of identity searches

In order to comply with money laundering regulations and to protect our customers from fraud, we are required by law to confirm the identity and address of every applicant and beneficiary. We are able to access credit reference agency databases, including information from the Electoral Register, to check that the details you have supplied us with are correct. This will show, as a search of the database but not as a credit score, so will not affect your credit rating. In the majority of cases, this will enable us to open your account. If we cannot verify your identity and address by this method, we will ask you to provide paper documentation instead.

## Appendix 2 - Charitable Assignment Scheme

**IF YOU HAD A SHARE ACCOUNT WITH THE SOCIETY ON 31st JULY 2000 AND HAVE HELD A SHARE ACCOUNT WITH THE SOCIETY EVER SINCE THAT DATE, OR IF YOU HAVE A MORTGAGE WITH THE SOCIETY AT TODAY'S DATE THE WORDING IN PARAGRAPHS 1 AND 2 BELOW DOES NOT APPLY TO YOU. HOWEVER, IT MUST NOT BE DELETED.**

1) By applying to open a share account on or after 1st August 2000 I/We agree with the Society and the Charities Aid Foundation ("the CAF") that I/We will assign to the CAF (or to any charity(ies) nominated by it or by the Society under the provisions of a deed dated 31st July 2000 between the Society and the CAF, in which case references to the CAF shall include references to any other charity(ies), but to no other person) the rights to any relevant conversion benefits (defined below). This obligation will not apply to me/us, if I/We fall within any class of persons, which, as at today's date, the Society wishes to be excluded from such obligation.

This agreement is irrevocable and authorises the Society to transfer to the CAF any such benefits without further notice to me/us. I/We understand that neither the Society nor the CAF will release me/us from this agreement or vary its terms and I/We will continue to be bound by the above condition even if the Society decides at some time in the future (and announces any such decision by press release or otherwise) that it is no longer in the best interests of the Society to continue with the above assignment condition generally in respect of new members.

2(a) "Relevant conversion benefits" means any benefits to which I/We might become entitled as a shareholding member of the Society under the terms of any future transfer of the Society's business to a company (i.e. on a conversion or takeover) which is completed at any time within the ten years immediately following the date on which my/our share account is opened (or, if applicable, the shorter period as set out in the list available from the Society's Secretary). "Relevant conversion benefits" does not include the statutory right to have shares in the Society (including any balances on share accounts) converted into deposits with the company on a conversion or takeover.

2(b) If the Society merges with any other society, after the date of such merger the "Society" includes such other society. A list of the classes of persons which the Society currently wishes to be excluded from the obligation to assign or in respect of which a shorter period applies (which list may change from time to time but not with retrospective effect) is available on request from the Society's Secretary.

**Agreement and Declaration**

We intend to rely on the Specific Terms for this account and the Savings Account Terms and Conditions (savings T&Cs), which together will form our agreement with you. Our current savings T&Cs are available online at [www.srbs.co.uk](http://www.srbs.co.uk) and are also provided within your account opening pack. Before signing your agreement, for your own benefit and protection you should read the Specific Terms and Conditions for this account, the savings T&Cs and the declarations below. If you do not understand any point please ask for further information.

**Your declaration - In signing this application form, you confirm the following declaration:**

- I/We are the sole/joint beneficial owner of all the money to be invested in this account; OR
- A sole/joint trustee for the individual named overleaf.
- This account is not a bare trustee for a body corporate or for persons who include a body corporate (a bare trustee is someone who holds an account in his or her name but the funds invested are for the absolute benefit of another person).
- I/We declare that the information I/we have given on this form is true to the best of our knowledge and belief and undertake to inform the Society of any changes in my/our circumstances.
- I/We agree to be bound by the Rules of the Society, the Society's Savings Account Terms and Conditions and any specific conditions applicable to this account (a copy of which I/we have received).
- To be bound by the rules of the Society and the Charitable Assignment Scheme described above in the application form and in our savings T&C's.
- I/We agree to the section called "Important - Use Of Your Information" including the Privacy Policy and agree to the Society using my information in the manner specified.

**Please tick the box below to confirm you have received the Society's Privacy Notice within your pack.**

Account holder 1(Child as Beneficiary)

Account holder 2(Registered Contact)

**I'm/We're confirm:**

- That the information I/we have provided in the Tax Residency section of this form is correct at the time of signing this declaration
- That I/we will inform The Stafford Building Society of any changes in my circumstance, such as moving outside of the UK, which may affect the declaration and tax residency
- That I/we have received a copy of the Society's Privacy Notice and have had the opportunity to read this and ask any questions.
- That eligible deposits with The Stafford Building Society are protected by the Financial Services Compensation Scheme (FSCS). I also confirm that I have read the FSCS Information Sheet and understand that any deposits I hold above the current limit are not covered.

<b>Account holder (child as beneficiary)</b>		<b>Signed - Trustee (registered contact)</b>	
*Signature		*Signature	
*Date		*Date	