

**Application Form – Additional Permitted
Subscription (APS) ISA (UK individuals only)**



**The Stafford
Building Society**

Customer ID:

(Office Use Only)

Account No:

Account Holder(s) Details

ACCOUNT HOLDER

*Title																					
*First name(s)																					
*Surname																					
*Address																					
*Postcode																					
Date moved to this address	<table border="1"> <tr> <td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	M	M	Y	Y	Y	Y														
M	M	Y	Y	Y	Y																
Previous Address if less than 3 years at current Address																					
Date moved to this address	<table border="1"> <tr> <td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	M	M	Y	Y	Y	Y														
M	M	Y	Y	Y	Y																
*Date of birth																					
*Country of Birth																					
*NI Number																					
Marital status																					
*Telephone																					
Email																					
*Occupation																					
*Name of Employer or your business name																					
*Nationality																					
*Country of residence																					
*Are you a tax resident in any other country? (If yes, please state where)	Yes <input type="checkbox"/> No <input type="checkbox"/>																				
*If Yes, please include your Tax Identification Number (TIN)																					
Are you an existing society member? (Please provide your account number)	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>																				

Deceased Spouse Details

*Title	
*First name(s)	
*Surname	

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The Stafford
Building Society

*Deceased permanent residential address at their date of death	
*Date of birth	
*NI Number	
Date of Death	
Date of marriage/Civil partnership between investor & the deceased	
Deceased existing ISA account number	

Please note, if multiple ISAs were held by the deceased with the ISA manager. Their value will be combined to form one APS allowance

Tax Residency Self Certification (FATCA/CRS)

Account holder 1

Are you a citizen and tax resident of the UK only? **Yes** **No** (If **NO**, please complete the supporting FATCA declaration leaflet)

APS Allowance Subscription Information

I (the investor) wish to subscribe £_____ from my APS allowance in respect of the deceased and wish to make my subscription to a Cash ISA

Please be aware that The Stafford Building Society will accept one payment in respect of the APS allowance into an APS ISA account. Once a payment to an APS allowance has been made no further payments will be accepted and if the full amount of eligible subscription has not been used, the unused allowance will be lost.

APS Eligibility Declaration

This section must be completed to confirm the investor named on this application is eligible to make additional permitted subscription to an ISA in respect of the deceased named on this application.

I (the investor) declare that:

- I am the surviving spouse / civil partner of the deceased
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down)
- The subscription is made under the provisions of regulation 5DDA of the ISA regulations (Additional permitted subscription)
- The subscription is being made in the case of cash subscription, within 3 years of the date of death, or if later, 180 days of the completion of the administration of the estate) (where the deceased died in the period beginning with 3 December 2014 and ending on 5 April 2015, the deceased is treated as dying on 6 April 2015).
- I am aged 18 years of age or over
- All subscriptions made, and to be made, belong to me

• I the investor wish to subscribe: £

- Investment paid by: Cheque Cash Bank Transfer Debit Card Transfer IN

The Stafford Building Society is a trading name for the Stafford Railway Building Society. The Stafford Railway Building Society is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct authority and the Prudential Regulation Authority. Register Number 206063

I authorise The Stafford Building Society

- To hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- To make on my behalf any claims to relief from tax in respect of ISA investments

Nominated Bank Account and Paying Interest Away

The Society will offer members the opportunity to make an electronic payment from their The Stafford Building Society savings account to a nominated bank account in their own name via Faster Payments without charge, subject to a maximum number of one payment made per customer per working day. Members can register a maximum of one nominated bank account per member and the bank account nominated must be in the name of the Society savings account holder(s). We will allow electronic payments to be made to a Solicitor. **To use this service, please provide us with a copy bank statement which displays your name, address, sort code and account number or if available we will accept verification from your debit card in branch.**

Nominated Account Details:

Sort Code	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	Account Number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Card Holder(s) name	<input type="text"/>															
Bank Name	<input type="text"/>															
Branch Name	<input type="text"/>															

Marketing Preferences

The Society would like to be able to contact you using the details which you provide in this form. You can choose whether to be contacted for marketing purposes by indicating your preferences by ticking the relevant boxes in the declaration section of this form. You can change or cancel your choices at any time by contacting us at The Stafford Building Society, 4 Market Square, Stafford, ST16 2JH.

Please ensure that should you choose to contact the Society via email, please ensure that all emails you send to the Society, which include your personal data, are sent securely.

I confirm that the Society can contact me for marketing purposes:

Account holder 1

Post Email Telephone No Marketing

Account holder 2

Post Email Telephone No Marketing

***How did you hear about us?**

Family/Friends Existing Member Advertising/Newspaper social media Email

Event or Other (please give details below)

Disclosure of Relationships

Are you related to anyone who works for the society, and what is your relationship?

Staff Member Name	What is your relationship to them?

Appendix 1 - Important Information - Use of Your Information

Data Protection Legislation and the UK General Data Protection Regulation

Any information you provide, both presently and in the future, may be held on record by the Society. The record may be held as an electronic record. The Society may use this information for purposes of customer administration, research, and statistical analysis, and for fraud prevention for the benefit of the Society and its customers. This information will be held during the life of the account and may be kept for six years after the account is closed. Under current Data Protection legislation, you have the right to ask us to send you a copy of your records and the right to change any of your information that is incorrect. In certain circumstances, you may also have the right to ask us to stop using or delete your personal information. You also have the right of access to your personal records held by credit and fraud agencies. It is important that you understand how the personal information you give us may be used. We therefore strongly advise that you read our Full Privacy Notice, which you can find on our website, or you can ask us for a copy.

Fraud Prevention

To prevent or detect fraud, or to assist in verifying your identity, we may make searches of records held by fraud prevention agencies who will supply us with information. We also pass information to government departments and to financial and other organisations involved in fraud prevention to protect ourselves and our customers from theft or fraud. If you give us false or inaccurate information and we suspect fraud, we will record this. We, and other companies, may use this information if decisions are made about you or others at your address on credit or credit related services. It may also be used for tracing and claims assessment.

Proof of identity searches

In order to comply with money laundering regulations and to protect our customers from fraud, we are required by law to confirm the identity and address of every applicant and beneficiary. We are able to access credit reference agency databases, including information from the Electoral Register, to check that the details you have supplied us with are correct. This will show, as a search of the database but not as a credit score, so will not affect your credit rating. In the majority of cases, this will enable us to open your account. If we cannot verify your identity and address by this method, we will ask you to provide paper documentation instead.

Appendix 2 - Charitable Assignment Scheme

IF YOU HAD A SHARE ACCOUNT WITH THE SOCIETY ON 31st JULY 2000 AND HAVE HELD A SHARE ACCOUNT WITH THE SOCIETY EVER SINCE THAT DATE, OR IF YOU HAVE A MORTGAGE WITH THE SOCIETY AT TODAY'S DATE THE WORDING IN PARAGRAPHS 1 AND 2 BELOW DOES NOT APPLY TO YOU. HOWEVER, IT MUST NOT BE DELETED.

1) By applying to open a share account on or after 1st August 2000 I/We agree with the Society and the Charities Aid Foundation ("the CAF") that I/We will assign to the CAF (or to any charity(ies) nominated by it or by the Society under the provisions of a deed dated 31st July 2000 between the Society and the CAF, in which case references to the CAF shall include references to any other charity(ies), but to no other person) the rights to any relevant conversion benefits (defined below).

This obligation will not apply to me/us, if I/We fall within any class of persons, which, as at today's date, the Society wishes to be excluded from such obligation.

This agreement is irrevocable and authorises the Society to transfer to the CAF any such benefits without further notice to me/us.

I/We understand that neither the Society nor the CAF will release me/us from this agreement or vary its terms and I/We will continue to be bound by the above condition even if the Society decides at some time in the future (and announces any such decision by press release or otherwise) that it is no longer in the best interests of the Society to continue with the above assignment condition generally in respect of new members.

2(a) "Relevant conversion benefits" means any benefits to which I/We might become entitled as a shareholding member of the Society under the terms of any future transfer of the Society's business to a company (i.e. on a conversion or takeover) which is completed at any time within the ten years immediately following the date on which my/our share account is opened (or, if applicable, the shorter period as set out in the list available from the Society's Secretary). "Relevant conversion benefits" does not include the statutory right to have shares in the Society (including any balances on share accounts) converted into deposits with the company on a conversion or takeover.

2(b) If the Society merges with any other society, after the date of such merger the "Society" includes such other society.

A list of the classes of persons which the Society currently wishes to be excluded from the obligation to assign or in respect of which a shorter period applies (which list may change from time to time but not with retrospective effect) is available on request from the Society's Secretary.

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Agreement and Declaration

The Society intends to rely on the Specific Terms for this account and the Savings Account Terms and Conditions (savings T&Cs), which together will form our agreement with you. Our current savings T&Cs are available online at www.srbs.co.uk and will be provided within your account opening pack. Before signing your agreement, for your own benefit and protection you should read the Specific Terms and Conditions for this account and the savings T&Cs. If you do not understand any point please ask for further information.

Your declaration - In signing this application form, you confirm the following declaration:

- I am resident in the United Kingdom for tax purposes, or if not so resident, either perform duties which by virtue of Sections 28 of the Income Tax (Earnings and Pension) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in civil partnership with a person who performs such duties.
- I will inform The Stafford Building Society if I cease to be so resident or to perform such duties or be married to, or in civil partnership with, a person who performs such duties.
- I am the sole beneficial owner of all the money to be invested in this account
- This account is not a bare trustee for a body corporate or for persons who include a body corporate (a bare trustee is someone who holds an account in his or her name, but the funds invested are for the absolute benefit of another person).
- I declare that the information I/we have given on this form is true to the best of our knowledge and belief and undertake to inform the Society of any changes in my/our circumstances.
- I agree to be bound by the Rules of the Society, the Society's Savings Account Terms and Conditions and any specific conditions applicable to this account (a copy of which I/we have received).
- To be bound by the rules of the Society and the Charitable Assignment Scheme described above in the application form and in our savings T&C's.
- I agree to the section called "Important - Use Of Your Information" including the Privacy Policy and agree to the Society using my information in the manner specified.
- I am aware that eligible deposits with The Stafford Building Society are protected by the Financial Services Compensation Scheme (FSCS).
- I have read the FSCS Information Sheet and understand that any deposits I hold above the current limit are not covered.

I confirm:

- That the information I have provided in the Tax Residency section of this form is correct at the time of signing this declaration
- That I will inform The Stafford Building Society of any changes in my circumstance, such as moving outside of the UK, which may affect the declaration and tax residency
- That I have received a copy of the Society's Privacy Notice and have had the opportunity to read this and ask any questions.
- That I have received a copy of the Financial Services Compensation Scheme information sheet and have had the opportunity to read this and ask any questions.

Signed - Account holder

Date: